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8
9 **UNITED STATES DISTRICT COURT**
10 **CENTRAL DISTRICT OF CALIFORNIA**

11 HEALTHCARE ALLY
12 MANAGEMENT OF CALIFORNIA,
13 LLC,

14 Plaintiff,

15 v.

16 Aetna Life Insurance Co. and DOES 1-
10,

17 Defendant.

CASE NO.: 2:23-cv-04301
ODW(MRWx)

JUDGE: Judge Otis D. Wright

**STIPULATION FOR
PROTECTIVE ORDER; AND
ORDER THEREON**

ACTION FILED: October 28, 2022

(Superior Court of California, County
of Los Angeles, Case No.
22STCV34594)

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**TO THE HONORABLE MICHAEL R. WILNER, UNITED STATES
MAGISTRATE JUDGE, AND ALL PARTIES REQUIRING NOTICE:**

The parties have agreed and stipulated to the protective order in order to facilitate discovery while safeguarding the protected health information of “Patient” identified in the First Amended Complaint. Because discovery will likely involve disclosure and exchange of details regarding medical treatment and health information related to the subject “Patient,” which is protected and confidential, a qualified protective order is necessary to ensure confidentiality and safeguard the privacy of subject “Patient.” If entered, the protective order will govern the handling of documents and any other information or material produced, given, or exchanged by the parties and any non-parties since the inception of this matter.

In this regard, pursuant to Federal Rules of Civil Procedure (“FRCP”), Rule 26(c), plaintiff Healthcare Ally Management of California, LLC (“Plaintiff”) and defendant Aetna Life Insurance Company (“Aetna”) hereby stipulate, and request the Court to enter an order approving, the following stipulated protective order (“Stipulated Protective Order”).

STIPULATED PROTECTIVE ORDER

Pursuant to Rule 26(c) of the Federal Rules of Civil Procedure, the Health Insurance Portability and Accountability Act of 1996, and for good cause, the Court issues this Protective Order. Unless modified pursuant to the terms contained in this Order, this Order shall remain in effect through the conclusion of this litigation.

IT IS STIPULATED THAT:

1. Scope of Protection

This Protective Order shall govern any record of information produced in this action and designated pursuant to this Protective Order, including all designated deposition testimony, all designated testimony taken at a hearing or other proceeding,

1 all designated deposition exhibits, interrogatory answers, admissions, documents and
 2 other discovery materials, whether produced informally or in response to
 3 interrogatories, requests for admissions, requests for production of documents or other
 4 formal methods of discovery.

5 This Protective Order shall also govern any designated record of information
 6 produced in this action pursuant to required disclosures under any federal procedural
 7 rule or local rule of the Court and any supplementary disclosures thereto.

8 This Protective Order shall apply to the parties and to any nonparty from whom
 9 discovery may be sought who desires the protection of this Protective Order.

10 **2. Definitions**

11 The term Confidential Information shall mean confidential or proprietary
 12 technical, scientific, financial, business, health, or medical information designated as
 13 “CONFIDENTIAL” by the producing party.

14 The term Confidential Health Information shall constitute a subset of
 15 Confidential Information, and shall be designated as “CONFIDENTIAL” and subject
 16 to all other terms and conditions governing the treatment of Confidential Information.
 17 Confidential Health Information shall mean information supplied in any form, or any
 18 portion thereof, that identifies an individual or subscriber in any manner and relates to
 19 the past, present, or future care, services, or supplies relating to the physical or mental
 20 health or condition of such individual or subscriber, the provision of health care to
 21 such individual or subscriber, or the past, present, or future payment for the provision
 22 of health care to such individual or subscriber. Confidential Health Information shall
 23 include, but is not limited to, claim data, claim forms, grievances, appeals, or other
 24 documents or records that contain any patient health information required to be kept
 25 confidential under any state or federal law, including 45 C.F.R. Parts 160 and 164
 26 promulgated pursuant to the Health Insurance Portability and Accountability Act of
 27 1996 (see 45 C.F.R. §§ 164.501 & 160.103), and the following subscriber, patient, or
 28 member identifiers:

- 1 a. names;
- 2 b. all geographic subdivisions smaller than a State, including street
- 3 address, city, county, precinct, and zip code;
- 4 c. all elements of dates (except year) for dates directly related to an
- 5 individual, including birth date, admission date, discharge date, age, and date of death;
- 6 d. telephone numbers;
- 7 e. fax numbers;
- 8 f. electronic mail addresses;
- 9 g. social security numbers;
- 10 h. medical record numbers;
- 11 i. health plan beneficiary numbers;
- 12 j. account numbers;
- 13 k. certificate/license numbers;
- 14 l. vehicle identifiers and serial numbers, including license plate
- 15 numbers;
- 16 m. device identifiers and serial numbers;
- 17 n. web universal resource locators (“URLs”);
- 18 o. internet protocol (“IP”) address numbers;
- 19 p. biometric identifiers, including finger and voice prints;
- 20 q. full face photographic images and any comparable images; and/or
- 21 r. any other unique identifying number, characteristic, or code.

22 The term Technical Advisor shall refer to any person who is not a party to this
 23 action or not presently employed by the receiving party or a company affiliated
 24 through common ownership, who has been designated by the receiving party to
 25 receive another party’s Confidential Information, including Confidential Health
 26 Information. Each party’s Technical Advisors shall be limited to such person as, in the
 27 judgment of that party’s counsel, are reasonably necessary for development and
 28 presentation of that party’s case. These persons include outside experts or consultants

1 retained to provide technical or other expert services such as expert testimony or
2 otherwise assist in trial preparation.

3 **3. Designation of Information**

4 Documents and things produced or furnished during the course of this action
5 shall be designated as containing Confidential Information, including Confidential
6 Health Information, by placing on each page, each document (whether in paper or
7 electronic form), or each thing a legend substantially as follows:

8 “CONFIDENTIAL”

9 A party may designate information disclosed at a deposition as Confidential
10 Information by requesting the reporter to so designate the transcript at the time of the
11 deposition.

12 A producing party shall designate its discovery responses, responses to requests
13 for admission, briefs, memoranda and all other papers sent to the court or to opposing
14 counsel as containing Confidential Information when such papers are served or sent.

15 A party shall designate information disclosed at a hearing or trial as
16 Confidential Information by requesting the court, at the time the information is
17 proffered or adduced, to receive the information only in the presence of those persons
18 designated to receive such information and court personnel, and to designate the
19 transcript appropriately.

20 The parties will use reasonable care to avoid designating any documents or
21 information as Confidential Information that is not entitled to such designation or
22 which is generally available to the public. The parties shall designate only that part of
23 a document or deposition that is Confidential Information, rather than the entire
24 document or deposition.

25 **4. Disclosure and Use of Confidential Information**

26 Information that has been designated Confidential shall be disclosed by the
27 receiving party only to Qualified Recipients. All Qualified Recipients shall hold such
28 information received from the disclosing party in confidence, shall use the information

only for purposes of this action and for no other action, and shall not use it for any business or other commercial purpose, and shall not use it for filing or prosecuting any patent application (of any type) or patent reissue or reexamination request, and shall not disclose it to any person, except as hereinafter provided. All information that has been designated Confidential shall be carefully maintained so as to preclude access by persons who are not qualified to receive such information under the terms of this Order.

In the event that any receiving party's briefs, memoranda, discovery requests, requests for admission or other papers of any kind which are served or filed shall include another party's Confidential Information, the papers shall be appropriately designated and shall be treated accordingly.

All documents, including attorney notes and abstracts, which contain another party's Confidential Information, shall be handled as if they were designated pursuant to paragraph 3. Documents, papers and transcripts filed with the court that contain any other party's

Confidential Information shall be filed under seal.

5. Qualified Recipients

For purposes of this Order, the term Qualified Recipient means:

- a. Outside counsel of record for any party in this action, as well as employees of such counsel (excluding experts and investigators) assigned to and necessary to assist such counsel in the preparation and trial of this action;
- b. Representatives, officers, or employees of a party as necessary to assist outside counsel in the preparation and trial of this action;
- c. Witnesses who testify by deposition or at trial who, if not a representative, officer, or employee of a party, shall be advised about the terms of this Order and that such Order is applicable to them in connection with their testimony and do not retain copies of Confidential Information;
- d. Persons who were authors or recipients of the Confidential

Information or previously had legal access to Confidential Information;

e. Technical Advisors, expert witnesses, or consultants engaged by a party to assist with the preparation and trial of this action provided such expert or consultant agrees in writing, in the form attached at Appendix A, to be bound by the terms of this Order;

f. Any designated arbitrator or mediator who is assigned to hear this matter, or who has been selected by the parties, and his or her staff, provided that such individuals agree in writing, in the form attached at Appendix A, to be bound by the terms of this Order;

g. Stenographers and videographers engaged to transcribe or record depositions conducted in this action provided that such individuals agree in writing, in the form attached at Appendix A, to be bound by the terms of this Order; and

h. The Court and its support personnel.

6. Nonparties

Any nonparty who produces documents or other information in response to discovery requests or subpoenas in this litigation shall be entitled to the benefits and protections of this Protective Order and shall be entitled to seek additional protections.

The parties agree that they will treat Confidential Information produced by nonparties according to the terms of this Order.

Nonparties may challenge the confidentiality of Confidential Information by filing a motion to intervene and a motion to de-designate.

7. Inadvertent Failure to Designate

In the event that a producing party inadvertently fails to designate any of its information pursuant to paragraph 3, it may later designate by notifying the receiving parties in writing. The receiving parties shall take reasonable steps to see that the information is thereafter treated in accordance with the designation.

It shall be understood however, that no person or party shall incur any liability hereunder with respect to disclosure that occurred prior to receipt of written notice of

1 a belated designation.

2 **8. Inadvertent Disclosure**

3 In the event of an inadvertent disclosure of another party's Confidential
4 Information to a non-Qualified Recipient, the party making the inadvertent disclosure
5 shall promptly upon learning of the disclosure: (i) notify the person to whom the
6 disclosure was made that it contains Confidential Information subject to this Order;
7 (ii) make all reasonable efforts to preclude dissemination or use of the Confidential
8 Information by the person to whom disclosure was inadvertently made including, but
9 not limited to, obtaining all copies of such materials from the non-Qualified Recipient;
10 and (iii) notify the producing party of the identity of the person to whom the
11 disclosure was made, the circumstances surrounding the disclosure, and the steps
12 taken to ensure against the dissemination or use of the information.

13 **9. Challenge to Designation**

14 At any time after the delivery of Confidential Information, counsel for the party
15 receiving the Confidential Information may challenge the designation of all or any
16 portion thereof by providing written notice thereof to counsel for the party disclosing
17 or producing the Confidential Information. If the parties are unable to agree as to
18 whether the confidential designation of discovery material is appropriate, the party
19 receiving the Confidential Information shall certify to the Court that the parties cannot
20 reach an agreement as to the confidential nature of all or a portion of the Confidential
21 Information. Thereafter, the party disclosing or producing the Confidential
22 Information shall have ten days from the date of certification to file a motion for
23 protective order with regard to any Confidential Information in dispute. The party
24 producing the Confidential Information shall have the burden of establishing that the
25 disputed Confidential Information is entitled to confidential treatment. If the party
26 producing the Confidential Information does not timely file a motion for protective
27 order, the Confidential Information in dispute shall no longer be subject to
28 confidential treatment as provided in this Order. All Confidential Information is

1 entitled to confidential treatment pursuant to the terms of this Order until and unless
2 the parties formally agree in writing to the contrary, a party fails to timely move for a
3 protective order, or a contrary determination is made by the Court as to whether all or
4 a portion of designated Confidential Information is entitled to confidential treatment.

5 **10. Conclusion of Action**

6 At the conclusion of this action, including through all appeals, each party or
7 other person subject to the terms hereof shall be under an obligation to destroy or
8 return to the producing party all materials and documents containing Confidential
9 Information and to certify to the producing party such destruction or return. Such
10 return or destruction shall not relieve said parties or persons from any of the
11 continuing obligations imposed upon them by this Order.

12 The provisions of this paragraph shall not be binding on the United States, any
13 insurance company, or any other party to the extent that such provisions conflict with
14 applicable Federal or State law. The Department of Justice, any insurance company,
15 or any other party shall notify the producing party in writing of any such conflict it
16 identifies in connection with a particular matter so that such matter can be resolved
17 either by the parties or by the Court.

18 **11. Jurisdiction to Enforce Protective Order**

19 After the termination of this action, the Court will continue to have jurisdiction
20 to enforce this Order.

21 **12. Modification of Protective Order**

22 This Order is without prejudice to the right of any person or entity to seek a
23 modification of this Order at any time either through stipulation or Order of the Court.

24 **13. Confidentiality of Party's Own Documents**

25 Nothing herein shall affect the right of the designating party to disclose to its
26 officers, directors, employees, attorneys, consultants or experts, or to any other
27 person, its own information. Such disclosure shall not waive the protections of this
28 Protective Order and shall not entitle other parties or their attorneys to disclose such

1 information in violation of it, unless by such disclosure of the designating party the
 2 information becomes public knowledge. Similarly, the Protective Order shall not
 3 preclude a party from showing its own information, including its own information that
 4 is filed under seal by a party, to its officers, directors, employees, attorneys,
 5 consultants or experts, or to any other person.

6 **14. Compulsory Disclosure to Third Parties**

7 If any receiving party is subpoenaed in another action or proceeding or served
 8 with a document or testimony demand or a court order, and such subpoena or demand
 9 or court order seeks Confidential Information, including Confidential Health
 10 Information of a producing party, the receiving party shall give prompt written notice
 11 to counsel for the producing party and allow the producing party an opportunity to
 12 oppose such subpoena or demand or court order prior to the deadline for complying
 13 with the subpoena or demand or court order. No compulsory disclosure to third parties
 14 of information or material exchanged under this Order shall be deemed a waiver of
 15 any claim of confidentiality, except as expressly found by a court or judicial authority
 16 of competent jurisdiction.

17 **15. Binding Effect**

18 This Order shall be binding upon the parties and their attorneys, successors,
 19 executors, personal representatives, administrators, heirs, legal representatives,
 20 assigns, subsidiaries, divisions, employees, agents, independent contractors, or other
 21 persons or organizations over which they have control.

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IT IS SO STIPULATED.

DATED: October 18, 2023

LAW OFFICE OF JONATHAN A.
STIEGLITZ

By: /s/ Jonathan A. Stieglitz
JONATHAN A. STIEGLITZ
Attorneys for Plaintiff
HEALTHCARE ALLY MANAGEMENT
OF CALIFORNIA, LLC

DATED: October 18, 2023

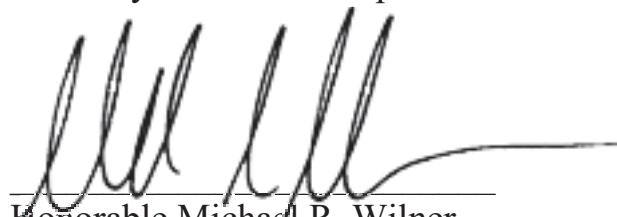
FROST BROWN TODD LLP

By: /s/ S. Christopher Yoo
S. CHRISTOPHER YOO
JACOB M. CLARK
Attorneys for Defendant
AETNA LIFE INSURANCE COMPANY

IT IS HEREBY ORDERED THAT:

1. The Stipulation is approved in its entirety and enters the protective order per the Stipulation.

Dated: 10/19/23


Honorable Michael R. Wilner
United States Magistrate Judge

FROST BROWN TODD LLP
SANTA ANA

Appendix A

PROTECTIVE ORDER UNDERTAKING

I, _____, declare that:

1. My address is _____.

My current employer is _____.

My current occupation is _____.

2. I have received a copy of the Protective Order in this action. I have carefully read and understand the provisions of the Protective Order.

3. I will comply with all of the provisions of the Protective Order and agree to be bound by the Protective Order. I will hold in confidence, will not disclose to anyone not qualified under the Protective Order, and will use only for purposes of this action any Confidential Information or information designated as “Confidential” that is disclosed to me.

4. Promptly upon termination of the relevant action, I will either return in full to the outside counsel for the party by whom I am employed or completely destroy all documents and things designated as “Confidential” that came into my possession and all documents and things that I have prepared relating thereto.

5. I understand that the obligations of this undertaking and the provisions of the Protective Order continue past the termination of the action.

6. I hereby submit to the jurisdiction of this Court for the purpose of enforcement of the Protective Order in this action.

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1 I declare under penalty of perjury under the Laws of the United States that the
2 foregoing is true and correct.

3 City and State where sworn and signed: _____
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5 Printed name: _____
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7 Signature: _____
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FROST BROWN TODD LLP
SANTA ANA

PROOF OF SERVICE**STATE OF CALIFORNIA, COUNTY OF ORANGE***Healthcare Ally Management of California, LLC v. Aetna*

USDC Case No. 2:23-cv-04301

I am employed in the County of Orange, State of California. I am over the age of 18 years and not a party to the within action. My business address is **Frost Brown Todd LLP, 1 MacArthur Place, Santa Ana, CA 92707.**

On October 18, 2023, I served the foregoing document described as **STIPULATION FOR PROTECTIVE ORDER; AND ORDER THEREON** on the interested parties in this action.

☐ by placing the original and/or a true copy thereof enclosed in (a) sealed envelope(s), addressed as follows:

SEE ATTACHED SERVICE LIST

☐ **BY REGULAR MAIL:** I deposited such envelope in the mail at 1 MacArthur Place, Santa Ana, California. The envelope was mailed with postage thereon fully prepaid.

I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. It is deposited with the U.S. Postal Service on that same day in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one (1) day after date of deposit for mailing in affidavit.

BY THE ACT OF FILING OR SERVICE, THAT THE DOCUMENT WAS PRODUCED ON PAPER PURCHASED AS RECYCLED.

☒ **BY ELECTRONIC SERVICE:** Based on a court order or an agreement of the parties to accept service by electronic transmission, I caused the documents to be sent to the persons at the electronic notification address listed in the Service List.

☐ **TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF):** Pursuant to controlling General Orders and LBR, the foregoing document will be served by the court via NEF and hyperlink to the document. On October 18, 2023, I checked the CM/ECF docket for this bankruptcy case or adversary proceeding and determined that the following persons are on the Electronic Mail Notice List to receive NEF transmission at the email addresses stated below.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on October 18, 2023, at Santa Ana, California.

/s/ Veronica Delgado

Veronica Delgado

PROOF OF SERVICE

SERVICE LIST

Healthcare Ally Management of California, LLC v. Aetna
USDC Case No. 2:23-cv-04301

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PROOF OF SERVICE